STIC Biotechnology Systems Branch

RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

| Application Serial Number: Source: | /0/8/0,063A |
|------------------------------------|-------------|
| Source: | 1F416, |
| Date Processed by STIC: | 3/19/06 |
| | |

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE <u>CHECKER</u> <u>VERSION 4.4.0 PROGRAM</u>, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (http://www.uspto.gov/ebc/efs/downloads/documents.htm, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- 3. Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05):
 U.S. Patent and Trademark Office, Mail Stop Sequence, Customer Window, Randolph Building, 401 Dulany Street,
 Alexandria, VA 22314

Revised 01/10/06

Raw Sequence Listing Error Summary

| ERROR DETECTED | SUGGESTED CORRECTION SERIAL NUMBER: 10/8/0,063A | | | |
|-------------------------------------|--|--|--|--|
| ATTN: NEW RULES CASES: | PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE | | | |
| lWrapped Nucleics Wrapped Aminos | The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping." | | | |
| 2Invalid Line Length | The rules require that a line not exceed 72 characters in length. This includes white spaces. | | | |
| 3Misaligned Amino Numbering | The numbering under each 5 th amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead. | | | |
| 4Non-ASCII | The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text. | | | |
| 5Variable Length | Sequence(s)contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing. | | | |
| 6PatentIn 2.0 "bug" | A "bug" in Patentln version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) Normally, Patentln would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences. | | | |
| 7Skipped Sequences (OLD RULES) | Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence: (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading) (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) This sequence is intentionally skipped Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences. | | | |
| 8Skipped Sequences (NEW RULES) | Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence. <210> sequence id number <400> sequence id number 000 | | | |
| 9Use of n's or Xaa's (NEW RULES) | Use of n's and/or Xaa's have been detected in the Sequence Listing. Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present. In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents. | | | |
| 10Invalid <213> Response | Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence. (see item 11 below) | | | |
| 11Use of <220> | Sequence(s)missing the <220> "Feature" and associated numeric identifiers and responses. Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section or use "chemically synthesized" as explanation. (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32), also Sec. 1.823 of Sequence Rules | | | |
| 12PatentIn 2.0 "bug" | Please do not use "Copy to Disk" function of Patentln version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk. | | | |
| 13 Misuse of n/Xaa | "n" can only represent a single nucleotide; "Xaa" can only represent a single amino acid | | | |



IFW16

RAW SEQUENCE LISTING
PATENT APPLICATION: US/10/810,063A

DATE: 03/17/2006
TIME: 14:03:30

Input Set : D:\INGN106.APP.txt

Output Set: N:\CRF4\03172006\J810063A.raw

```
3 <110> APPLICANT: WOLD, WILLIAM
        TOLLEFSON, ANN
 6 <120> TITLE OF INVENTION: ADENOVIRUS REPLICATION-COMPETENT VECTORS EXPRESSING
         TRAIL
9 <130> FILE REFERENCE: INGN:106US
11 <140> CURRENT APPLICATION NUMBER: 10/810,063A
12 <141> CURRENT FILING DATE: 2004-03-26
14 <150> PRIOR APPLICATION NUMBER: 60/458,493
15 <151> PRIOR FILING DATE: 2003-03-28
17 <160> NUMBER OF SEQ ID NOS: 3
19 <170> SOFTWARE: PatentIn Ver. 2.1
21 <210> SEQ ID NO: 1
                                                   Does Not Comply
22 <211> LENGTH: 1769
23 <212> TYPE: DNA
                                                  Corrected Diskette Needed
24 <213> ORGANISM: Homo sapiens
26 <220> FEATURE:
27 <221> NAME/KEY: CDS
28 <222> LOCATION: (88)..(933)
30 <400> SEQUENCE: 1
31 cctcactgac tataaaagaa tagagaagga agggcttcag tgaccggctg cctggctgac 60
33 ttacagcagt cagactotga caggato atg got atg gag gtc cag ggg gga 114
34
                                 Met Ala Met Met Glu Val Gln Gly Gly
35
37 ccc age ctg gga cag acc tgc gtg ctg atc gtg atc ttc aca gtg ctc
38 Pro Ser Leu Gly Gln Thr Cys Val Leu Ile Val Ile Phe Thr Val Leu
                        15
41 ctg cag tot otc tgt gtg got gta act tac gtg tac ttt acc aac gag
                                                                      210
42 Leu Gln Ser Leu Cys Val Ala Val Thr Tyr Val Tyr Phe Thr Asn Glu
43
                    30
                                        35
45 ctg aag cag atg cag gac aag tac tcc aaa agt ggc att gct tgt ttc
                                                                      258
46 Leu Lys Gln Met Gln Asp Lys Tyr Ser Lys Ser Gly Ile Ala Cys Phe
47
                                    50
49 tta aaa gaa gat gac agt tat tgg gac ccc aat gac gaa gag agt atg
50 Leu Lys Glu Asp Asp Ser Tyr Trp Asp Pro Asn Asp Glu Glu Ser Met
                                65
53 aac agc ccc tgc tgg caa gtc aag tgg caa ctc cgt cag ctc gtt aga
                                                                      354
54 Asn Ser Pro Cys Trp Gln Val Lys Trp Gln Leu Arg Gln Leu Val Arg
                            80
57 aag atg att ttg aga acc tct gag gaa acc att tct aca gtt caa gaa
58 Lys Met Ile Leu Arg Thr Ser Glu Glu Thr Ile Ser Thr Val Gln Glu
                        95
                                           100
61 aag caa caa aat att tot ooc ota gtg aga gaa aga ggt oot cag aga
                                                                      450
62 Lys Gln Gln Asn Ile Ser Pro Leu Val Arg Glu Arg Gly Pro Gln Arg
```

RAW SEQUENCE LISTING DATE: 03/17/2006
PATENT APPLICATION: US/10/810,063A TIME: 14:03:30

Input Set : D:\INGN106.APP.txt

Output Set: N:\CRF4\03172006\J810063A.raw

| 63 | 110 | 115 | | 120 | | |
|--|----------------|------------------|---------------|-------------|--|--|
| 65 gta gca gct ca | c ata act ggg | acc aga gga aga | agc aac aca | ttg tct 498 | | |
| 66 Val Ala Ala Hi | | | | | | |
| 67 12 | 5 | 130 | 135 | | | |
| 69 tct cca aac tc | | | | | | |
| 70 Ser Pro Asn Se | r Lys Asn Glu | Lys Ala Leu Gly | Arg Lys Ile . | Asn Ser | | |
| 71 140 | | 145 | 150 | | | |
| 73 tgg gaa tca tc | | | | | | |
| 74 Trp Glu Ser Se | | His Ser Phe Lev | | His Leu | | |
| 75 155 | 160 | | 165 | | | |
| 77 agg aat ggt ga | | | | | | |
| 78 Arg Asn Gly Gl | | | | <u> </u> | | |
| 79 170 | 175 | 180 | | 185 | | |
| 81 tcc caa aca ta | | | | | | |
| 82 Ser Gln Thr Ty | • | | = | - | | |
| 83 | 190 | 195 | | 200 | | |
| 85 aac gac aaa ca | | | - | | | |
| 86 Asn Asp Lys Gl: | | | - | Tyr Pro | | |
| 87 20 | - | 210 | 215 | tct aaa 786 | | |
| 89 gac cct ata tt | | | | | | |
| 90 Asp Pro Ile Le 91 220 | u neu met nys | 225 | 23.0 | ser bys | | |
| 93 gat gca gaa ta | t gga ctc tat | | | ttt gag 834 | | |
| 94 Asp Ala Glu Ty | | | | | | |
| 95 235 | 240 | 201 110 171 011 | 245 | , | | |
| 97 ctt aag gaa aa | | ttt att tct ata | aca aat qaq | cac ttq 882 | | |
| 98 Leu Lys Glu As | | • | | _ | | |
| 99 250 | 255 | 260 | | 265 | | |
| 101 ata gac atg g | ac cat gaa gc | c agt ttt ttc go | g gcc ttt tta | gtt ggc 930 | | |
| 102 Ile Asp Met A | sp His Glu Ala | a Ser Phe Phe Gl | y Ala Phe Leu | Val Gly | | |
| 103 | 270 | 275 | | 280 | | |
| 105 taa ctgacctgg | | | | | | |
| 108 ggatgataca ct | | | | _ | | |
| 110 aaaacaaaaa aa | | | | | | |
| 112 acacactgtt ct | | | | | | |
| 114 caggacteta ce | | | | | | |
| 116 attaatgcaa tg | | | | | | |
| 118 gaaagcgcaa ca | | | | | | |
| 120 aagggacaac at | | | | | | |
| 122 ctggtgcagt gg | | | | | | |
| 124 cgagatcaag ag 126 tgcaaaaatt ag | | | | | | |
| 128 ggcaggagaa to | | | | | | |
| 130 acactccage ct | | | | | | |
| 132 cagtaagtac gt | | | | | | |
| 134 aaaaaa | 51000000 | | | 1769 | | |
| 137 <210> SEQ ID | NO: 2 | | | 2 | | |
| 138 <211> LENGTH: 281 | | | | | | |
| 139 <212> TYPE: P | | | | | | |
| | • | | | | | |

RAW SEQUENCE LISTING DATE: 03/17/2006
PATENT APPLICATION: US/10/810,063A TIME: 14:03:30

Input Set : D:\INGN106.APP.txt

Output Set: N:\CRF4\03172006\J810063A.raw

```
140 <213 > ORGANISM: Homo sapiens
    142 <400> SEQUENCE: 2
    143 Met Ala Met Met Glu Val Gln Gly Gly Pro Ser Leu Gly Gln Thr Cys
                        5
                                            10
    145 Val Leu Ile Val Ile Phe Thr Val Leu Leu Gln Ser Leu Cys Val Ala
    147 Val Thr Tyr Val Tyr Phe Thr Asn Glu Leu Lys Gln Met Gln Asp Lys
    148 35
                                    40
    149 Tyr Ser Lys Ser Gly Ile Ala Cys Phe Leu Lys Glu Asp Asp Ser Tyr
                                55
    150 50
    151 Trp Asp Pro Asn Asp Glu Glu Ser Met Asn Ser Pro Cys Trp Gln Val
    152 65
                             70
    153 Lys Trp Gln Leu Arg Gln Leu Val Arg Lys Met Ile Leu Arg Thr Ser
                                            90
                        85
    155 Glu Glu Thr Ile Ser Thr Val Gln Glu Lys Gln Gln Asn Ile Ser Pro
    156 100
                                       105
    157 Leu Val Arg Glu Arg Gly Pro Gln Arg Val Ala Ala His Ile Thr Gly
                                   120
    159 Thr Arg Gly Arg Ser Asn Thr Leu Ser Ser Pro Asn Ser Lys Asn Glu
                              135
    161 Lys Ala Leu Gly Arg Lys Ile Asn Ser Trp Glu Ser Ser Arg Ser Gly
                                               155
                           150
    163 His Ser Phe Leu Ser Asn Leu His Leu Arg Asn Gly Glu Leu Val Ile
                                           170
                    165
    165 His Glu Lys Gly Phe Tyr Tyr Ile Tyr Ser Gln Thr Tyr Phe Arg Phe
                   180
                                       185
    167 Gln Glu Glu Ile Lys Glu Asn Thr Lys Asn Asp Lys Gln Met Val Gln
                                   200
                                                       205
    168 195
    169 Tyr Ile Tyr Lys Tyr Thr Ser Tyr Pro Asp Pro Ile Leu Leu Met Lys
                               215
    171 Ser Ala Arg Asn Ser Cys Trp Ser Lys Asp Ala Glu Tyr Gly Leu Tyr
                                              235
                            230
    173 Ser Ile Tyr Gln Gly Gly Ile Phe Glu Leu Lys Glu Asn Asp Arg Ile
                                            250
                        245
    175 Phe Val Ser Val Thr Asn Glu His Leu Ile Asp Met Asp His Glu Ala
                                        265
                    260
    177 Ser Phe Phe Gly Ala Phe Leu Val Gly
                                               Nede epplanation'
(see item 11 on Euro
furmany
Steet)
    178
                275
    182 <210> SEQ ID NO: 3
    183 <211> LENGTH: 14
    184 <212> TYPE: DNA
    185 <213> ORGANISM: Artificial Sequence
    187 <220> FEATURE:
    188 <221> NAME/KEY: modified base
    189 <222> LOCATION: (3)..(12)
    190 <223> OTHER INFORMATION: n = a, c, g and/or t/u
    192 <400> SEQUENCE: 3
W--> 193 ctngaanntt cnag
                                                                         14
```

RAW SEQUENCE LISTING ERROR SUMMARY DATE: 03/17/2006
PATENT APPLICATION: US/10/810,063A TIME: 14:03:31

Input Set : D:\INGN106.APP.txt

Output Set: N:\CRF4\03172006\J810063A.raw

Please Note:

Use of n and/or Xaa have been detected in the Sequence Listing. Please review the Sequence Listing to ensure that a corresponding explanation is presented in the <220> to <223> fields of each sequence which presents at least one n or Xaa.

Seg#:3; N Pos. 3,7,8,12

VERIFICATION SUMMARY

DATE: 03/17/2006 TIME: 14:03:31

PATENT APPLICATION: US/10/810,063A

Input Set : D:\INGN106.APP.txt

Output Set: N:\CRF4\03172006\J810063A.raw

L:193 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:3 after pos.:0